

Investor Complaints & Grievances
Broking and Depository
Annexure - B/C
November-2023



SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved	Pending at the end of the month**		Average Resolution time^(in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0	0
3	Stock Exchanges/ Depositories	0	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0	0

Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	Apr-2023	0	0	0	0
2	May-2023	0	0	0	0
3	Jun-2023	0	0	0	0
4	Jul-2023	0	0	0	0
5	Aug-2023	0	0	0	0
6	Sep-2023	0	0	0	0
7	Oct-2023	0	0	0	0
8	Nov-2023	0	0	0	0
9	Dec-2023				
10	Jan-2024				
11	Feb-2024				
12	Mar-2024				
	Grand Total				

Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2019-20	0	0	0	0
2	2020-21	0	0	0	0
3	2021-22	0	2	2	0
4	2022-23	0	0	0	0
5	2023-24	0			
	Grand Total				

SPS Share Brokers Private Limited

4C VULCAN INSURANCE BUILDING 4TH FLOOR NEXT TO GAYLORD RESTAURANT CHURCHGATE MUMBAI – 400020
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